

Roll No. ....

**9658**

**LL.B. (Hons.) 5 Year Course 10th  
Semester and LL.B. Hons. 3 Year  
Course 6th Semester (New  
Scheme) Examination- May, 2016  
FINANCIAL MARKET REGULATIONS (OLD)**

**Paper : 1005**

**Time : 3 hours**

**Max. Marks : 80**

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard will be entertained after the examination.

**Note :** Attempt four questions from Section A, selecting **one** question from each unit. Section B is **compulsory**.

**Unit-I**

1. Discuss in detail, what functions performed by the financial market ? (14)

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[ Turn Over

2. Explain the following :

(a) Treasury Bill Market (7)

(b) Government Securities Market (7)

**Unit-II**

3. What do you mean by listing agreement ?

Suggest if any development required in listing of securities. (14)

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4. Explain the following :

(a) Bombay Stock Exchange (7)

(b) Secondary Market (7)

**Unit-III**

5. Define Derivatives. What are the types of Derivatives ? Explain. (14)

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6. Explain the following :

(a) Future (7)

(b) Options (7)

#### Unit-IV

7. What is SEBI ? How does SEBI control the functioning of capital market ? (14)

8. Discuss in detail role and functions of R.B.I. in regulating the financial market. (14)

#### Section-B

9. Explain the following : (3x8)

(i) Bills of Exchange

(ii) Commercial Papers

(iii) Serious Fraud Investigation Office

(iv) Book Building Process

(v) Over the Counter Exchange of India

(vi) Swaps

(vii) Credit Derivatives

(viii) Power of Central Government

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